

# Almost Complete Sets

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**Abstract.** We show that there is a set which is almost complete but not complete under polynomial-time many-one (p-m) reductions for the class  $\mathbf{E}$  of sets computable in deterministic time  $2^{\text{lin}}$ . Here a set  $A$  in a complexity class  $\mathcal{C}$  is almost complete for  $\mathcal{C}$  under some reducibility  $r$  if the class of the problems in  $\mathcal{C}$  which do not  $r$ -reduce to  $A$  has measure 0 in  $\mathcal{C}$  in the sense of Lutz's resource-bounded measure theory. We also show that the almost complete sets for  $\mathbf{E}$  under polynomial-time bounded one-one length-increasing reductions and truth-table reductions of norm 1 coincide with the almost p-m-complete sets for  $\mathbf{E}$ . Moreover, we obtain similar results for the class  $\mathbf{EXP}$  of sets computable in deterministic time  $2^{\text{poly}}$ .

## 1 Introduction

Lutz [15] introduced measure concepts for the standard deterministic time and space complexity classes which contain the class  $\mathbf{E}$  of sets computable in deterministic time  $2^{\text{lin}}$ . These measure concepts have been used for investigating quantitative aspects of the internal structure of the corresponding complexity classes. Most of this work focussed on the measure for  $\mathbf{E}$ . The majority of the results obtained there, however, carry over to the larger complexity classes. For recent surveys of the work on resource-bounded measure, see Lutz [17] and Ambos-Spies and Mayordomo [3].

Lutz's measure on  $\mathbf{E}$  does not only allow to measure in  $\mathbf{E}$  the relative size of classes of sets with interesting structural properties – like e.g. the classes of complete sets under various reducibilities or of the  $\mathbf{P}$ -bi-immune sets, i.e., the sets which are intractable almost everywhere – but it also leads to important new concepts. The most investigated concept of this sort is probably that of a weakly complete set introduced by Lutz in [16]. While all sets in  $\mathbf{E}$  can be reduced to a complete set (under some given polynomial time reducibility notion), for a weakly complete set, Lutz only requires that the class of the reducible sets does not have measure 0 in  $\mathbf{E}$ , i.e., is a non-negligible part of  $\mathbf{E}$ .

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Originally, Lutz introduced weak completeness for the polynomial time many-one (p-m) reducibility – the reducibility which is used in most completeness proofs in the literature – and he showed that there actually is a weakly p-m-complete set for  $\mathbf{E}$  which is not p-m-complete for  $\mathbf{E}$  (Lutz [16]). In fact, the class of weakly p-m-complete sets for  $\mathbf{E}$  has measure 1 in  $\mathbf{E}$  (Ambos-Spies, Terwijn, Zheng [6], Juedes [11]) whereas the class of p-m-complete sets for  $\mathbf{E}$  has measure 0 in  $\mathbf{E}$  (Mayordomo [18]), whence weak completeness leads to a new large class of provably intractable problems.

A natural concept between completeness and weak completeness is almost completeness. Here a set  $A$  in  $\mathbf{E}$  is almost p-m-complete for  $\mathbf{E}$  if the class of problems which are p-m-reducible to  $A$  has measure 1 in  $\mathbf{E}$ , i.e., if the sets in  $\mathbf{E}$  which are not reducible to  $A$  can be neglected with respect to measure. Zheng and others (see e.g. [3], Section 7) raised the question whether there are almost p-m-complete sets for  $\mathbf{E}$  which are not p-m-complete for  $\mathbf{E}$ . Here we answer this question affirmatively by constructing such a set.

Our result is contrasted by a result of Regan, Sivakumar and Cai [19], which implies that for the standard transitive polynomial-time reducibilities allowing more than one oracle query – like bounded truth-table (btt), truth-table (tt), and Turing (T) reducibility – completeness and almost completeness coincide. It follows that any almost p-m-complete set for  $\mathbf{E}$  is p-btt-complete for  $\mathbf{E}$ , whence – in contrast to the weakly p-m-complete sets – the class of almost p-m-complete sets for  $\mathbf{E}$  has measure 0 in  $\mathbf{E}$ .

The above results still leave the investigation of almost completeness for the one-query reducibilities different from many-one reducibility. Here we show that the almost completeness notions coincide for the reducibilities ranging from one-to-one, length-increasing reductions to truth-table reductions of norm 1. This parallels previous results for completeness (see Berman [8] and Homer, Kurtz and Royer [10]) and weak completeness (see Ambos-Spies, Mayordomo and Zheng [4]).

The outline of the paper is as follows. In Section 2 we describe the part of Lutz’s measure theory for  $\mathbf{E}$  needed in the paper and we review the limiting result on almost completeness by Regan, Sivakumar, and Cai. Section 3 contains the proof of our main result, while in Section 4 the relations among the various completeness notions are discussed. In Section 5 we summarize some further results.

Our notation is fairly standard, for unexplained notation we refer to [3]. Capital letters such as  $A, B, R, X$  denote sets of binary strings. Lower case letters from the end of the alphabet like  $x, y, z$  denote binary strings, whereas the other letters denote natural numbers with the exception of  $p$  and  $q$  which denote polynomials and  $d, f, g, h$  and  $s, t$  which denote general functions. Sometimes we identify strings with natural numbers by letting  $n$  be the  $(n + 1)$ th binary string under the canonical length-lexicographical ordering. Sets are identified with their characteristic sequence, i.e., for every natural number  $n$ , we have  $A(n) = 1$  if  $n \in A$  and  $A(n) = 0$  if  $n \notin A$ . For a set  $A$  and a string  $x$  let  $A \upharpoonright x$  denote the restriction of  $A$  to all strings less than  $x$ . This yields an initial segment with

length determined by the natural number corresponding to  $x$ . Generally,  $X \upharpoonright x$  denotes some initial segment of length  $x$ . Observe that

$$2^{|x|} - 1 \leq |X \upharpoonright x| \leq 2^{|x|+1} - 1.$$

The polynomial time reductions considered here are general reductions of Turing type (p-T), truth-table reductions (p-tt) allowing only non-adaptive queries, bounded truth-table reductions (p-btt) in which in addition the number of queries is bounded by a constant, and the special case hereof where this constant  $c$  is fixed (btt( $c$ )). We will represent p-btt(1)-reductions by a pair of polynomial time computable functions  $g$  and  $h$  where  $g(x)$  is the string queried on input  $x$  and the unary Boolean function  $h(x)$  tells how the answer of the oracle is evaluated. If the reduction is positive, i.e.,  $h(x)(i) = i$  for all strings  $x$  and all  $i$  in  $\{0, 1\}$ , we have a p-many-one-reduction (p-m) and in this case we omit  $h$ . If in addition  $g$  is one-to-one (and length-increasing) we obtain a (length-increasing) one-one reduction (p-1 and p-1-li). For  $r$  in  $\{T, tt, btt, btt(1), m, 1, 1-li\}$  and any set  $A$ , we let the *lower p-r-span* of  $A$  be the class  $\{B : B \leq_r^p A\}$ .

## 2 Measure on $\mathbf{E}$ and almost completeness

In this section we describe the fragment of Lutz's measure theory for the class  $\mathbf{E}$  of sets computable in deterministic time  $2^{\text{lin}}$  which we will need in the following. For a more comprehensive presentation of this theory we refer the reader to the recent surveys by Lutz [17] and by Ambos-Spies and Mayordomo [3]. Our presentation follows [3]. The  $t(n)$ -measure defined there slightly differs from the original definition by Lutz, but both definitions lead to the same notions of p-measure and measure on  $\mathbf{E}$ .

The measure on  $\mathbf{E}$  is obtained by imposing appropriate resource-bounds on a game theoretical characterization of the classical Lebesgue measure.

**Definition 1.** A betting strategy  $s$  is a function  $s : \{0, 1\}^* \rightarrow [0, 1]$ . The (normed) martingale  $d_s : \{0, 1\}^* \rightarrow [0, \infty)$  induced by a betting strategy  $s$  is inductively defined by  $d_s(\lambda) = 1$  and

$$d_s(xi) = 2 \cdot |i - s(x)| \cdot d_s(x)$$

for  $x \in \{0, 1\}^*$  and  $i \in \{0, 1\}$ . A martingale is a martingale induced by some strategy. A martingale  $d$  succeeds on a set  $A$  if

$$\limsup_{n \rightarrow \infty} d(A \upharpoonright n) = \infty,$$

and  $d$  succeeds on a class  $\mathbf{C}$  if  $d$  succeeds on every member  $A$  of  $\mathbf{C}$ .

This definition can be motivated by the following fair betting game in which a gambler puts bets on the successive bits of a hidden sequence  $A \in \{0, 1\}^\infty$ . The game proceeds in infinitely many rounds where at the end of round  $n$  the  $n$ -th bit of the sequence  $A$  is revealed to the player. The gambler starts with

(normed) capital  $d(\lambda) = 1$ . Then, in round  $n$ , depending on the first  $n$  outcomes  $x = A \upharpoonright n$ , he bets a certain fraction  $\alpha_x \cdot d(x)$  ( $\alpha_x \in [0, 1]$ ) of his current capital  $d(x)$  on the event  $A(n) = 0$  and he bets the remaining capital  $(1 - \alpha_x) \cdot d(x)$  on the complementary event  $A(n) = 1$ . The amount put on the correct outcome is doubled, the amount put on the wrong guess is lost. Then, if the gambler uses the strategy  $s$  to determine the ratio  $\alpha_x = s(x)$  for his bets, the martingale  $d_s(x) = d(x)$  induced by  $s$  will describe the capital of the gambler in the course of this game. If this capital is unbounded, the gambler wins.

It can be shown that a class  $\mathbf{C}$  has Lebesgue measure 0,  $\mu(\mathbf{C}) = 0$ , iff some martingale succeeds on  $\mathbf{C}$ . By imposing resource bounds, martingales can be used for defining resource-bounded measure concepts.

**Definition 2.** Let  $t : \mathbb{N} \rightarrow \mathbb{N}$  be a recursive function. A  $t(n)$ -martingale  $d$  is a martingale induced by a rational valued betting strategy  $s$  such that  $s(x)$  can be computed in  $\mathcal{O}(t(|x|))$  steps for all strings  $x$ .

A class  $\mathbf{C}$  has  $t(n)$ -measure 0,  $\mu_{t(n)}(\mathbf{C}) = 0$ , if some  $t(n)$ -martingale succeeds on  $\mathbf{C}$ , and  $\mathbf{C}$  has  $t(n)$ -measure 1,  $\mu_{t(n)}(\mathbf{C}) = 1$ , if the complement  $\overline{\mathbf{C}}$  has  $t(n)$ -measure 0.

Note that for  $i \in \{0, 1\}$  and for recursive bounds  $t(n), t'(n)$  such that  $t(n) \leq t'(n)$  almost everywhere,

$$\mu_{t(n)}(\mathbf{C}) = i \quad \Rightarrow \quad \mu_{t'(n)}(\mathbf{C}) = i \quad \Rightarrow \quad \mu(\mathbf{C}) = i.$$

In order to obtain measures for complexity classes, resource-bounded measure concepts are defined not for individual bounds but for families of bounds. In particular, working with polynomial bounds yields a measure on  $\mathbf{E}$ .

**Definition 3.** A  $p$ -martingale  $d$  is a  $q(n)$ -martingale for some polynomial  $q$ . A class  $\mathbf{C}$  has  $p$ -measure 0,  $\mu_p(\mathbf{C}) = 0$ , if  $\mu_{q(n)}(\mathbf{C}) = 0$  for some polynomial  $q(n)$ , i.e., if some  $p$ -martingale succeeds on  $\mathbf{C}$ , and  $\mu_p(\mathbf{C}) = 1$  if  $\mu_p(\overline{\mathbf{C}}) = 0$ .

A class  $\mathbf{C}$  has measure 0 in  $\mathbf{E}$ ,  $\mu(\mathbf{C}|\mathbf{E}) = 0$ , if  $\mu_p(\mathbf{C} \cap \mathbf{E}) = 0$  and  $\mathbf{C}$  has measure 1 in  $\mathbf{E}$ ,  $\mu(\mathbf{C}|\mathbf{E}) = 1$ , if  $\mu(\overline{\mathbf{C}}|\mathbf{E}) = 0$ .

Lutz [15] has shown that this measure concept for  $\mathbf{E}$  is consistent. In particular,  $\mathbf{E}$  itself does not have measure 0 in  $\mathbf{E}$ , namely

$$\mu_p(\mathbf{E}) \neq 0 \text{ whence } \mu(\mathbf{E}|\mathbf{E}) \neq 0. \tag{1}$$

On the other hand, every slice of  $\mathbf{E}$  has measure 0 in  $\mathbf{E}$ , namely for  $k \geq 1$

$$\mu_p(\mathbf{DTIME}(2^{kn})) = 0 \text{ whence } \mu(\mathbf{DTIME}(2^{kn})|\mathbf{E}) = 0. \tag{2}$$

Based on the above measure for  $\mathbf{E}$  we can now introduce the completeness notions for  $\mathbf{E}$  which are central for our paper. Here  $\leq_r^p$  denotes any polynomial-time reducibility.

**Definition 4.** a) (Lutz [16]) A set  $A$  is weakly  $p$ - $r$ -hard for  $\mathbf{E}$  if the lower  $p$ - $r$ -span of  $A$  does not have measure 0 in  $\mathbf{E}$ , i.e., if  $\mu_p(\{B : B \leq_r^p A\} \cap \mathbf{E}) \neq 0$ . If, in addition,  $A$  is in  $\mathbf{E}$  then  $A$  is weakly  $p$ - $r$ -complete.

b) (Zheng) A set  $A$  is almost  $p$ - $r$ -hard for  $\mathbf{E}$  if the lower  $p$ - $r$ -span of  $A$  has measure 1 in  $\mathbf{E}$ , i.e., if  $\mu_p(\{B : B \leq_r^p A\} \cap \mathbf{E}) = 1$ . If, in addition,  $A$  is in  $\mathbf{E}$  then  $A$  is almost  $p$ - $r$ -complete.

Intuitively, a set  $A$  in  $\mathbf{E}$  is weakly  $p$ - $r$ -complete for  $\mathbf{E}$  if its lower span contains a non-negligible part of  $\mathbf{E}$  and it is almost  $p$ - $r$ -complete for  $\mathbf{E}$  if the part of  $\mathbf{E}$  which is not contained in the lower span of  $A$  can be neglected. In particular, every  $p$ - $r$ -complete set for  $\mathbf{E}$  is almost  $p$ - $r$ -complete for  $\mathbf{E}$  and – by (1) and by additivity of  $\mu_p$  – every almost  $p$ - $r$ -complete set for  $\mathbf{E}$  is weakly  $p$ - $r$ -complete for  $\mathbf{E}$ . Moreover, since  $\mathbf{P}$  has measure 0 in  $\mathbf{E}$  by (2), every weakly  $p$ - $r$ -complete set is provably intractable.

After Lutz [16] demonstrated the existence of weakly  $p$ - $m$ -complete sets for  $\mathbf{E}$  which are not  $p$ - $m$ -complete for  $\mathbf{E}$ , weak completeness was extensively studied and most relations among the different weak completeness and completeness notions have been clarified (see Section 4 below).

A severe limitation on the existence of nontrivial almost complete sets is imposed by the following observation on classes which have measure 1 in  $\mathbf{E}$ .

**Theorem 5 (Regan, Sivakumar and Cai [19]).** *Let  $\mathbf{C}$  be a class such that  $\mu(\mathbf{C}|\mathbf{E}) = 1$  and  $\mathbf{C}$  is either closed under symmetric difference or closed under union and intersection. Then  $\mathbf{C}$  contains all of  $\mathbf{E}$ .*

Since for  $r$  in  $\{\text{btt}, \text{tt}, \text{T}\}$  the lower  $p$ - $r$ -span of any set  $A$  is closed under union and intersection (as well as under symmetric difference) this shows that the concept of almost completeness is trivial for these reducibilities.

**Corollary 6.** *For  $r$  in  $\{\text{btt}, \text{tt}, \text{T}\}$ , every almost  $p$ - $r$ -complete (almost  $p$ - $r$ -hard) set for  $\mathbf{E}$  is  $p$ - $r$ -complete ( $p$ - $r$ -hard) for  $\mathbf{E}$ .*

Concerning  $p$ - $m$ -reducibility, it is immediate from Theorem 5 that in case the lower  $p$ - $m$ -span of a set is closed under union and intersection, then the set is almost  $p$ - $m$ -complete for  $\mathbf{E}$  if and only if it is  $p$ - $m$ -complete for  $\mathbf{E}$ . Applying the latter observation to a set which is  $p$ - $m$ -complete for the class  $\mathbf{NP}$ , we obtain – similarly to an argument in [19] – that if  $\mathbf{NP}$  has measure 1 in  $\mathbf{E}$  then  $\mathbf{NP}$  and  $\mathbf{E}$  coincide (and we can argue likewise for complexity classes other than  $\mathbf{NP}$  which are closed under union and intersection). Moreover, the above observation for example can be used to show that  $\mathbf{GI}$ , the set of all (appropriately encoded) pairs of isomorphic graphs, is almost  $p$ - $m$ -complete for  $\mathbf{E}$  if and only if it is  $p$ - $m$ -complete for  $\mathbf{E}$ . Here the closure of the lower  $p$ - $m$ -span of  $\mathbf{GI}$  under union and intersection follows from the fact that  $\mathbf{GI}$  has polynomial-time computable or- and and-functions, i.e., from a list of potential members of  $\mathbf{GI}$  we can compute in polynomial time a single pair of graphs such that this pair is in  $\mathbf{GI}$  if and only if some pair (respectively, all pairs) in the list are in  $\mathbf{GI}$  (for details of this construction see [14, Section 1.5]).

In general, however, the lower  $p$ - $m$ -span of a set is neither closed under symmetric difference nor under union and intersection, whence the argument used for proving Corollary 6 does not work for almost  $p$ - $m$ -completeness. As an immediate consequence of Corollary 6, however, almost  $p$ - $m$ -complete sets for  $\mathbf{E}$  must

be p-btt-complete for  $\mathbf{E}$ . Since the class of p-btt-complete sets has p-measure 0 (see [5]), this also shows that almost p-m-complete sets are scarce.

**Corollary 7.** *Every almost p-m-complete (hard) set for  $\mathbf{E}$  is p-btt-complete (hard) for  $\mathbf{E}$ . In particular, the class of the almost p-m-complete sets for  $\mathbf{E}$  has p-measure 0, hence measure 0 in  $\mathbf{E}$ .*

In fact, as observed in [3], every almost p-m-hard set for  $\mathbf{E}$  is p-btt(2)-hard for  $\mathbf{E}$  (see Theorem 12 below). Despite these limitations, in the next section we will show that there are almost p-m-complete sets for  $\mathbf{E}$  which are not p-m-complete for  $\mathbf{E}$ . Moreover, in Section 4 we will obtain the same results for some other p-reducibilities that allow only one oracle query by showing that all these reducibilities yield the same class of almost complete sets.

Our results and proofs will use the characterization of p-measure and measure in  $\mathbf{E}$  in terms of resource-bounded random sets. In the remainder of this section we shortly describe this approach (from [6]) and state some results on the measure in  $\mathbf{E}$  in terms of random sets, which we will need in the following.

**Definition 8.** *A set  $R$  is  $t(n)$ -random if no  $t(n)$ -martingale succeeds on  $R$ .*

For later use, we observe the following trivial relations among random sets for increasing time bounds.

**Proposition 9.** *Let  $t(n), t'(n)$  be recursive functions such that  $t(n) \leq t'(n)$  almost everywhere. Then every  $t'(n)$ -random set is  $t(n)$ -random.*

The characterization of the p-measure and the measure in  $\mathbf{E}$  in terms of random sets is as follows.

**Lemma 10 (Ambos-Spies, Terwijn and Zheng [6]).** *For any class  $\mathcal{C}$ ,*

- (i)  $\mu_p(\mathcal{C}) = 0$  iff there is a number  $k$  such that  $\mathcal{C}$  does not contain any  $n^k$ -random set, and
- (ii)  $\mu(\mathcal{C}|\mathbf{E}) = 0$  iff there is a number  $k$  such that  $\mathcal{C} \cap \mathbf{E}$  does not contain any  $n^k$ -random set.

Lemma 10 (together with Definition 3) immediately yields the following characterization of almost hardness.

**Lemma 11.** *A set  $A$  is almost p-r-hard for  $\mathbf{E}$  if and only if, for some number  $k \geq 1$ , the lower p-r-span of  $A$  contains all  $n^k$ -random sets in  $\mathbf{E}$ , i.e.,*

$$\forall R \in \mathbf{E} (R \text{ } n^k\text{-random} \Rightarrow R \leq_r^p A). \quad (3)$$

To illustrate how results on random sets can be turned into results on p-measure and the corresponding measure in  $\mathbf{E}$ , we give a proof of the strengthening of Corollary 7 mentioned above.

**Theorem 12 (Ambos-Spies and Mayordomo [3]).** *Every almost p-m-hard set for  $\mathbf{E}$  is p-btt(2)-hard for  $\mathbf{E}$ .*

The proof of Theorem 12, which is not explicitly given in [3], requires the following two lemmas. The first lemma gives a well-known invariance property of the  $n^k$ -random sets generalizing the observation that the complement of an  $n^k$ -random set is  $n^k$ -random again.

**Lemma 13.** *Let  $k \geq 1$ , let  $R$  be  $n^{k+1}$ -random and let  $A$  be a set in  $\mathbf{DTIME}(2^{kn})$ . Then the symmetric difference  $R\Delta A$  of  $R$  and  $A$  is  $n^{k+1}$ -random again.*

*Proof.* Let  $d$  be an  $n^{k+1}$ -martingale and let  $s$  be the strategy underlying  $d$ . In order to show that  $d$  does not succeed on  $R\Delta A$  we convert the martingale  $d$  into an  $n^{k+1}$ -martingale  $d'$  such that  $d'$  succeeds on a set  $X$  if and only if  $d$  succeeds on  $X\Delta A$ . Since, by  $n^{k+1}$ -randomness of  $R$ ,  $d'$  does not succeed on  $R$ , it follows that  $d$  will not succeed on  $R\Delta A$ .

The strategy  $s'$  underlying  $d'$  is defined by

$$s'(X \upharpoonright x) = |A(x) - s((X\Delta A) \upharpoonright x)|$$

Then, for any set  $X$  and any string  $x$ ,  $d'(X \upharpoonright x) = d((X\Delta A) \upharpoonright x)$  by a straightforward induction on  $x$ , whence  $d'$  has the required behaviour. Moreover, given  $X \upharpoonright x$ , by  $A \in \mathbf{DTIME}(2^{kn})$ ,  $A(x)$  and  $(X\Delta A) \upharpoonright x$  can be computed in  $\mathcal{O}(2^{|x|} \cdot 2^{k \cdot |x|}) = \mathcal{O}(|X \upharpoonright x|^{k+1})$  steps. Since  $s$  can be computed in  $\mathcal{O}(2^{(k+1)n})$  steps, it follows that  $s'$  can be computed in  $\mathcal{O}(2^{(k+1)n})$  steps too, whence  $d'$  is an  $n^{k+1}$ -martingale.  $\square$

Lemma 13 implies that every set in  $\mathbf{E}$  can be presented as the symmetric difference of two  $n^k$ -random sets.

**Lemma 14.** *Let  $A$  be in  $\mathbf{E}$  and let  $k \geq 1$ . There are  $n^k$ -random sets  $R_1$  and  $R_2$  in  $\mathbf{E}$  such that  $A = R_1\Delta R_2$ .*

*Proof.* Fix  $k' \geq k$  such that  $A \in \mathbf{DTIME}(2^{k'n})$ , let  $R_1$  be any  $n^{k'+1}$ -random set in  $\mathbf{E}$ , and let  $R_2 = R_1\Delta A$ . Then, by Lemma 13,  $R_2$  is  $n^{k'+1}$ -random too, whence  $R_1$  and  $R_2$  are  $n^k$ -random by Proposition 9. Moreover, since, for any sets  $X$  and  $Y$ ,  $X = Y\Delta(Y\Delta X)$ , the choice of  $R_2$  implies that  $A = R_1\Delta(R_1\Delta A) = R_1\Delta R_2$ .  $\square$

*Proof of Theorem 12.* Let  $A$  be almost p-m-hard for  $\mathbf{E}$ . Then, by Lemma 11, there is a number  $k \geq 1$  such that every  $n^k$ -random set in  $\mathbf{E}$  is p-m-reducible to  $A$ . Since, by Lemma 14, every set in  $\mathbf{E}$  is the symmetric difference of two  $n^k$ -random sets in  $\mathbf{E}$ , it follows that  $A$  is p-btt(2)-hard for  $\mathbf{E}$ .  $\square$

Many results about p-measure exploit the fact that random sets do not contain any easy parts and that they are incompressible. Before we state the observations needed here, we first recall some definitions. A set  $A$  is  *$\mathbf{C}$ -bi-immune* for a class  $\mathbf{C}$ , if no infinite subset of  $A$  or of the complement of  $A$  is a member of  $\mathbf{C}$ . A set  $A$  is *p-incompressible* if, for any set  $B$  and for any p-m-reduction  $f$  such that  $A \leq_m^p B$  via  $f$ ,  $f$  is one-to-one almost everywhere.

**Theorem 15.** (a) (Mayordomo [18]) Every  $n^{k+1}$ -random set is  $\mathbf{DTIME}(2^{kn})$ -bi-immune.  
(b) (Juedes and Lutz [12]) Every  $n^2$ -random set is p-incompressible.

In [18] and [12] these observations are phrased in terms of p-measure. For a proof of the theorem in the given form, see [3].

Theorem 15 (a) implies that any p-m-reduction of an  $n^{k+1}$ -random set  $R$  to a set  $A \in \mathbf{DTIME}(2^{kn})$  is length-increasing almost everywhere.

**Corollary 16.** Let  $k \geq 1$ , let  $A$  be in  $\mathbf{DTIME}(2^{kn})$ , let  $R$  be  $n^{k+1}$ -random and assume that  $R \leq_m^p A$  via  $f$ . Then  $f$  is length-increasing almost everywhere.

*Proof.* For a contradiction assume that  $|f(x)| \leq |x|$  for infinitely many strings  $x$ . For  $i \leq 1$  let  $B_i = \{x : |f(x)| \leq |x| \ \& \ A(f(x)) = i\}$ . Then  $B_i \in \mathbf{DTIME}(2^{kn})$ ,  $B_0 \subseteq \overline{R}$ ,  $B_1 \subseteq R$ , and, by assumption,  $B_0$  or  $B_1$  is infinite. So  $R$  is not  $\mathbf{DTIME}(2^{kn})$ -bi-immune contrary to Theorem 15 (a).  $\square$

Another related fact needed in Section 4 is the following.

**Lemma 17.** Let  $k \geq 2$  be a natural number and let  $R$  be an  $n^k$ -random set. Assume that  $R$  and its complement are p-m-reducible to some set  $A$  via p-m-reductions  $g$  and  $h$ , respectively. Then the intersection of the range of  $g$  and the range of  $h$  is finite.

*Proof.* For a contradiction assume that the intersection of the ranges of  $g$  and  $h$  is infinite. Since, by Lemma 13,  $\overline{R}$  is  $n^k$ -random too, by symmetry, we may assume that

$$B = \{x : \exists y \leq x (g(x) = h(y))\}$$

is infinite. Note that  $B \in \mathbf{DTIME}(2^{2n})$  and, for  $x \in B$ , the string  $y_x$  which is the least string  $y \leq x$  such that  $g(x) = h(y)$  can be found in  $2^{2|x|}$  steps. Moreover, by choice of  $g$  and  $h$ ,

$$R(x) = A(g(x)) = A(h(y_x)) = \overline{R}(y_x)$$

whence  $R(x) \neq R(y_x)$  and  $y_x < x$ . By infinity of  $B$  this allows the definition of an  $n^k$ -martingale  $d$  which succeeds on  $R$  contrary to choice of  $R$ . The strategy  $s$  underlying  $d$  is as follows. Given an initial segment  $X \upharpoonright x$ , check whether  $x \in B$ . If not then do not bet (i.e.  $s(X \upharpoonright x) = 1/2$ ). If so, compute  $y_x$  and bet all the current capital on the outcome  $X(x) = 1 - X(y_x)$  (i.e.  $s(X \upharpoonright x) = 1$  if  $X(y_x) = 0$  and  $s(X \upharpoonright x) = 0$  otherwise). So, when betting against  $R$ , the capital will be doubled at every string  $x$  in  $B$  whence  $d$  succeeds on  $R$ .  $\square$

Finally, for the proof of our main theorem in the next section, we will need the following instance of the Borel-Cantelli-Lemma for p-measure (see Regan and Sivakumar [20] for a more general discussion of this lemma).

**Lemma 18.** Let  $\{D_1, D_2, \dots\}$  be a sequence of pairwise disjoint finite sets where  $D_k$  has cardinality  $k$ . Assume further that given  $x$ , in time  $\mathcal{O}(2^{2|x|})$ , firstly, one can decide whether  $x$  is in  $D_k$  for some  $k$  and, if so, secondly, one can compute the unary notation  $1^k$  of  $k$  and a list of all strings  $y < x$  in  $D_k$ . Then every  $n^3$ -random set intersects almost all of the sets  $D_k$ .

*Proof.* It suffices to define an  $n^3$ -martingale  $d$  which succeeds on every set  $A$  which has an empty intersection with infinitely many of the sets  $D_k$ . We will define an appropriate betting strategy  $s$  which induces the martingale  $d$ . Here the strategy  $s$  will never bet on  $x$  being in  $A$  (i.e.  $s(w) \geq 1/2$  for all  $w$ ), whence  $s$  in turn is determined by specifying for all  $w$ , the stake  $v(w) \geq 0$  which is bet on  $x$  not being in  $A$ .

We split the initial capital  $d(\lambda) = 1$  into infinitely many parts  $c_1, c_2 \dots$ , where fraction  $c_k = 1/2^k$  is exclusively used for bets on the strings in  $D_k$ . On input  $w$ , we let  $v(w) = c_k \cdot 2^{j-1}$  in case the string  $x = |w|$  to bet on is the  $j$ th element of  $D_k$  and none of the strings  $y < x$  in  $D_k$  is in  $A$  (i.e. if all  $j - 1$  previous bets on  $D_k$  have been wins). Otherwise, we abstain from betting by letting  $v(w) = 0$ . Thus for all  $k$ , if  $A$  does not intersect  $D_k$ , then the capital  $c_k$  is doubled  $k$  times, i.e., the total capital originating solely from  $c_k$  equals 1. As a consequence, the gain of  $d$  on  $A$  is unbounded in the limit in case  $A$  has an empty intersection with infinitely many of the  $D_k$ .

It remains to show that  $s(w)$  can be computed in time  $\mathcal{O}(|w|^3)$ . First we show that for every  $w$  and every prefix  $u$  of  $w$ , the stake  $v(u)$  can be computed in time  $\mathcal{O}(|w|^2)$ . For every such  $u$  and  $w$  and for  $x = |u|$ , we have  $2^{2|x|} \leq |w|^2$ , whence by assumption within time  $\mathcal{O}(|w|^2)$  we can check whether  $x$  is in some  $D_k$  and, if so, can compute  $1^k$  and a list of the elements  $y < x$  in  $D_k$ . Moreover this list, which contains less than  $|w|$  elements, can be ordered in time  $\mathcal{O}(|w|^2)$  and running the ordered list against  $w$  in order to check whether none of the strings  $y$  is in  $A$  requires time linear in  $|w|$ .

Next we will argue that in time  $\mathcal{O}(|w|^3)$  we can compute the accumulated capital  $d(w)$  by starting with  $d(\lambda)$  and then adding up the wins and losses for all prefixes  $u$  of  $w$ . Here the values  $d(u)$  are bounded by  $2^{|w|}$ , while the stakes  $v(u)$  are bounded by 1. Moreover, the stakes  $v(u)$  can always be written as multiples of  $1/2^{|w|}$  because if a string  $y < x$  is in some set  $D_k$ , then by the discussion in the preceding paragraph,  $k$  is in  $\mathcal{O}(|w|^2)$ . Thus a single addition amounts to adding two rationals which have a binary expansion of at most  $|w| + \mathcal{O}(|w|^2)$  digits. So for all prefixes  $u$  of  $w$ , the computation of the stake  $v(u)$ , the check whether the corresponding bet was a win, and the ensuing addition can be done in time  $\mathcal{O}(|w|^2)$ , whence  $d(w)$  can be computed in time  $\mathcal{O}(|w|^3)$ .

By definition of the terms involved, the capital  $d(w0)$  can be written as  $d(w) + v(w)$  but also as  $2 \cdot s(w) \cdot d(w)$ , whence we obtain for  $d(w) \neq 0$

$$s(w) = \frac{d(w) + v(w)}{2d(w)}. \quad (4)$$

From the preceding discussion it is immediate that the binary expansion of both nominator and denominator of the fractional representation of  $s(x)$  according to (4) can be computed in time  $\mathcal{O}(|w|^3)$ . We leave to the reader the easy task of showing that the latter time bound is also sufficient for finding natural numbers  $p$ ,  $q$ , and  $r$  where  $s(x) = p/q \cdot 2^{-r}$ , i.e., to find a standard representation of the rational  $s(x)$  in the sense of [3].  $\square$

### 3 An almost complete set which is not complete

We now turn to the main result of this paper.

**Theorem 19.** *There is an almost p-m-complete set for  $\mathbf{E}$  which is not p-m-complete for  $\mathbf{E}$ .*

For a proof of Theorem 19 it suffices to show the following lemma.

**Lemma 20.** *There are sets  $A$  and  $B$  in  $\mathbf{E}$  such that  $B \not\leq_m^p A$  and*

$$\text{for all } n^3\text{-random sets } R \text{ in } \mathbf{EXP}, R \leq_m^p A. \quad (5)$$

Then, for such sets  $A$  and  $B$ , the set  $A$  is almost p-m-complete for  $\mathbf{E}$  by (5) and Lemma 11, whereas  $B$  is not p-m-reducible to  $A$  and thus  $A$  is not p-m-complete for  $\mathbf{E}$ . In fact, for this argument it suffices to consider  $\mathbf{E}$  in place of  $\mathbf{EXP}$  in (5). We will use in Section 5, however, that the extension proved here will lead simultaneously to a corresponding result for the class  $\mathbf{EXP}$ , i.e., the class of sets computable in time  $2^{\text{poly}}$ .

*Proof.* We construct sets  $A$  and  $B$  as required in stages. To be more precise we choose a strictly increasing function  $h : \mathbb{N} \rightarrow \mathbb{N}$  with  $h(0) = 0$  and we determine the values of  $A$  and  $B$  for all strings in the interval

$$I_k = \{x : h(k) \leq |x| < h(k+1)\}$$

at stage  $k$ . Here the function  $h$  is chosen to be p-constructible and, for technical reasons to be explained below, to satisfy

$$(i) k^2 < h(k) \quad (ii) k^2 \cdot p_k(h(k)) < 2^{\sqrt[k]{h(k)}} \quad (iii) p_k(h(k)) < h(k+1) \quad (6)$$

for all  $k > 0$  and  $p_k(n) = n^k + k$ . Note that given  $x$ , by p-constructibility of  $h$ , we can compute the index  $k$  such that  $x \in I_k$ , as well as  $h(k)$ , in  $\text{poly}(|x|)$  steps.

Before we define stage  $k$  of the construction formally, we first discuss the strategies to ensure the required properties of  $A$  and  $B$  and simultaneously introduce some notation required in the construction.

In order to ensure (5) we let  $A$  sufficiently resemble a p-m-complete set for  $\mathbf{EXP}$ . Let  $\{C_e : e \geq 0\}$  be an effective enumeration of  $\mathbf{EXP}$  such that  $C_e(x)$  can be computed uniformly in  $2^{|x|^e} + e$  steps, and let

$$E = \{1^e 01^{|x|^e} 0x : x \in C_e \ \& \ e \in \mathbb{N}\}$$

be the padded disjoint union of these sets. Then  $E$  can be computed in time  $2^n$  and, for all  $e$ ,  $C_e$  is p-m-reducible to  $E$  via

$$g_e(x) = 1^e 01^{|x|^e} 0x,$$

whence  $E$  is p-m-complete for  $\mathbf{EXP}$ . So, if we let

$$\text{CODE}_e = \text{range}(g_e)$$

denote the set of strings used for coding  $C_e$  into  $E$ , then in order to satisfy (5) it suffices to meet for all numbers  $e \geq 0$ , the requirement

$$R_e^1 : \text{If } C_e \text{ is } n^3\text{-random then } A \cap \text{CODE}_e \text{ is a finite variant of } E \cap \text{CODE}_e.$$

Namely, given an  $n^3$ -random set  $R$  in **EXP** we can choose  $e$  with  $R = C_e$ . Then the corresponding requirement  $R_e^1$  will ensure that  $R$  is p-m-reducible to  $A$  via a finite variant of  $g_e$ .

In order to meet the requirements  $R_e^1$  we will let  $A$  look like  $E$  unless the task of making  $B$  not p-m-reducible to  $A$  will force a disagreement. Since  $E$  is in **DTIME**( $2^n$ ) this procedure is compatible with ensuring that  $A$  is in **E** as long as the strings on which  $A$  and  $E$  differ can be recognized in linear exponential time. In this connection note that the sets  $\text{CODE}_e$  are pairwise disjoint and that, for given  $x$ ,  $\text{poly}(|x|)$  steps suffice to decide whether  $x$  is a member of one of these sets and if so to compute the unique  $e$  with  $x \in \text{CODE}_e$ .

The condition  $B \not\leq_m^p A$  is satisfied by diagonalization. Let  $\{f_k : k \geq 1\}$  be an effective enumeration of the p-m-reductions such that  $f_k(x)$  can be computed uniformly in  $p_k(|x|) = |x|^k + k$  steps. Then it suffices to meet the requirements

$$R_k^2 : \exists x \in \{0, 1\}^* (B(x) \neq A(f_k(x)))$$

for all numbers  $k \geq 1$ . We will meet requirement  $R_k^2$  at stage  $k$  of the construction.

For this purpose we will ensure that there is a string  $x$  from a set of  $k^2$  designated strings of length  $h(k)$  such that  $B(x)$  and  $A(f_k(x))$  differ, while we will let  $B$  be empty and let  $A$  equal  $E$  on  $I_k$  otherwise. We will say that this action *injures* an almost completeness requirement  $R_e^1$  if, for the chosen string  $x$ ,  $f_k(x)$  is in  $I_k \cap \text{CODE}_e$  and  $A$  and  $E$  differ on  $f_k(x)$ . Since  $A$  and  $E$  agree on  $I_k \cap \text{CODE}_e$  otherwise, the conclusion of  $R_e^1$  will fail if and only if the requirement is injured at infinitely many stages.

To avoid injuries we will attempt to diagonalize in such a manner that injuries to the first  $k$  requirements  $R_e^1$ ,  $e < k$  are avoided. If the function  $f_k$  is not one-to-one on the designated strings or if  $f_k(x)$  is shorter than  $x$  for some designated string  $x$  then the diagonalization will not affect  $A$  on  $I_k$  at all, whence no injuries occur. The critical case occurs if, for every designated string  $x$ ,  $f_k(x)$  is longer than  $x$  and element of some of the sets  $\text{CODE}_e$  with  $e < k$ . By the former, the diagonalization has to make  $A(f_k(x))$  differ from the canonical value 0 for  $B(x)$  (not vice versa, since otherwise we might fail to make  $B$  computable in exponential time) whence some injury may occur.

By Lemma 18, however, we will be able to argue that if  $C_e$  is  $n^3$ -random and if there are infinitely many stages at which we are forced to make  $A(f_k(x))$  differ from  $B(x) = 0$  for some  $f_k(x)$  in  $\text{CODE}_e$ , then at almost all of these stages letting  $A$  look like  $E$  on the  $f_k$ -images of the designated strings will yield the desired diagonalization. So for  $n^3$ -random  $C_e$  the requirement  $R_e^1$  will be injured only finitely often.

We now give the formal construction. We let  $B \cap I_0 = \emptyset$  and  $A \cap I_0 = E \cap I_0$ . Given  $k > 0$ , stage  $k$  of the construction is as follows. We assume that  $A$  and  $B$  have already been defined on the intervals  $I_0, \dots, I_{k-1}$ , and we will specify both sets on the interval  $I_k$ . For the scope of the description of stage  $k$  we call the first  $k^2$  strings in  $I_k$  the *designated strings*. The designated strings are the potential diagonalization witnesses for requirement  $R_k^2$ , i.e., we will guarantee  $B(x) \neq A(f_k(x))$  for some designated string  $x$ . Observe that every designated string has length  $h(k)$  and is mapped by  $f_k$  into the union of the intervals  $I_0$  through  $I_k$ , as follows by items (i) and (iii) in (6), respectively.

For the definition of  $A$  and  $B$  on  $I_k$  we distinguish the following four cases with respect to the images of the designated strings under the mapping  $f_k$ . Here it is to be understood that on  $I_k$  the sets  $A$  and  $B$  will always look like the set  $E$  and the empty set, respectively, unless this specification is explicitly overwritten according to one of the cases below. Moreover, as the cases are not exclusive, always the first applicable case is used.

**Case 1:** Some designated string is not mapped to  $I_k$ .

Let  $x$  be the least such string. By the preceding discussion,  $f_k(x)$  is contained in some interval  $I_j$  with  $j < k$  and  $A(f_k(x))$  has been defined at some previous stage. We let  $B(x) = 1 - A(f_k(x))$  (thereby satisfying  $R_k^2$ ).

**Case 2:** Two designated strings are mapped to the same string.

Let  $x$  be the least designated string such that  $f_k(x) = f_k(x')$  for some designated string  $x' \neq x$  and let  $B(x) = 1$ . (Then  $B(x) = 1$  differs from  $B(x') = 0$ , whereas  $f_k$  maps  $x$  and  $x'$  to the same string, whence  $R_k^2$  is met.)

**Case 3:** Some designated string is not mapped to the set  $\bigcup_{e < k} \text{CODE}_e$ .

Let  $x$  be the least such designated string and let  $A(f_k(x)) = 1$ . (Note that, by failure of Case 1,  $f_k(x)$  is in  $I_k$ , and  $R_k^2$  is met since  $B(x) = 0$  by convention.)

**Case 4:** Otherwise.

In this case the  $k^2$  designated strings are mapped by  $f_k$  to  $k^2$  different strings in  $\bigcup_{e < k} \text{CODE}_e$ , whence we can let  $e_k$  be the least  $e < k$  such that  $f_k$  maps at least  $k$  designated strings to  $\text{CODE}_{e_k}$ . Let  $J_k$  be the set of the least  $k$  designated strings which are mapped to  $\text{CODE}_{e_k}$  and let

$$F_k = \{f_k(x) : x \in J_k\}$$

be the  $f_k$ -image of  $J_k$ . Observe that by case assumption all strings in  $F_k$  are in  $I_k$ . In case  $E$  does not intersect  $F_k$ , we let  $A(y) = 1$  where  $y$  is the maximal element in  $F_k$  and we say that  $R_{e_k}^1$  is *injured at stage  $k$* . (Then  $R_k^2$  is met, because either there has already been a string  $x$  in  $J_k$  such that  $B(x) = 0$  differs from  $A(f_k(x))$  or we enforce such a disagreement for some  $x$  where  $f_k(x) = y$ .)

This completes the construction. It remains to show that the constructed sets have the required properties. We first observe that the constructed sets are in  $\mathbf{DTIME}(2^{2^n})$ . We sketch the proof for the set  $A$  and leave the similar proof for the set  $B$  to the reader. Given a string  $y$ , we can compute in time  $\text{poly}(|y|)$  the index  $k$  where  $y$  is in  $I_k$ , as well as  $h(k)$ . Further it takes time  $\mathcal{O}(k^2 p_k(h(k)))$  to

compute the list of all pairs  $(x, f_k(x))$  such that  $x$  is a designated string of stage  $k$  and it takes time polynomial in the length of this list to check which of the four cases applies and to determine whether according to this case,  $A(y)$  might differ from  $E(y)$  at all. If not, we simply have to compute  $E(y)$ . Otherwise, we know that either Case 3 applies and  $y$  is in  $A$  or Case 4 applies,  $y$  is the maximal string in  $F_k$ , and  $y$  is in  $A$  iff none of the  $k - 1$  smaller strings in  $F_k$  is also in  $E$ . Using item (ii) in (6) it is then a routine task to show that  $A$  in fact can be computed in time  $2^{2^n}$ .

It remains to show that the requirements  $R_e^1$ ,  $e \geq 0$ , and  $R_k^2$ ,  $k \geq 0$ , are met. By the comments made in the individual cases of the construction, it is immediate that all the requirements  $R_k^2$ ,  $k \geq 0$ , are met. For a proof that all the almost completeness requirements  $R_e^1$  are met, too, fix  $e \geq 0$  and assume for a contradiction that  $R_e^1$  fails. Then  $C_e$  is  $n^3$ -random and  $A \cap \text{CODE}_e$  and  $E \cap \text{CODE}_e$  differ on infinitely many intervals  $I_k$ . By construction, the latter implies that there are infinitely many stages  $k$  where  $R_e^1$  is injured. Note that, for such a stage  $k$ , Case 4 applies,  $e = e_k$  and consequently the set  $F_k$  is defined and

$$F_k \subseteq \text{CODE}_e \cap I_k \quad \text{and} \quad F_k \cap E = \emptyset.$$

For all other stages, we define now  $F_k$  to be the set of the first  $k$  strings in  $\text{CODE}_e \cap I_k$  (except that for the at most finitely many  $k$  where the latter set contains less than  $k$  strings, we let  $F_k$  be an arbitrary  $k$ -element subset of  $\text{CODE}_e$  such that the set  $F_0, F_1, \dots$  are pairwise disjoint). Then we let

$$D_k = \{g_e^{-1} : y \in F_k\}.$$

Thus  $C_e \cap D_k = \emptyset$  for the infinitely many stages  $k$  at which  $R_e^1$  is injured and in order to obtain the desired contradiction, it suffices to show that the sequence  $D_0, D_1, \dots$  satisfies the hypothesis of Lemma 18.

By construction, each  $D_k$  has cardinality  $k$  and the sets  $D_k$  are pairwise disjoint because they are the inverse images of the pairwise disjoint sets  $F_k$ . We show now that for given input  $x$ , in time  $\mathcal{O}(2^{|x|})$  we can check whether  $x$  is in some  $D_k$  and if so, can compute  $1^k$  and a list of the elements in  $D_k$ . Here, first, we compute  $g_e(x)$  and the index  $k$  such that  $g_e(x) \in I_k$ . This can be done in  $\text{poly}(|x|)$  steps. Second, we simulate stage  $k$  of the construction, we check if Case 4 applies with  $e = e_k$  and compute the set  $F_k$  accordingly. This can be done in time polynomial in  $k^2 p_k(h(k))$ , as follows by an argument similar to the one used to show that the set  $A$  can be computed in linear exponential time. Third, we compute the preimage of  $F_k$  under  $g_e$ , which can again be done in time polynomial in  $k^2 p_k(h(k))$ . So we are done, because the time required by the three preceding steps can be bounded for some constant  $c$  and almost all  $x$  (and the corresponding values of  $k$ ) by

$$[k^2 p_k(h(k))]^c \leq 2^{c \cdot h(k)^{\frac{1}{k}}} \leq 2^{c|x|^{\frac{2e}{k}}} \leq 2^{|x|} \quad (7)$$

Here the inequalities in (7) follow, from left to right, by item (ii) of (6), because, by choice of  $k$ ,  $|h(k)|$  is bounded by  $|g_e(x)|$  and hence is bounded by  $|x|^{2e}$  for almost all  $x$  and, finally, by the asymptotic growth of the functions involved.  $\square$

## 4 Comparing completeness notions

The polynomial-time reducibilities allowing only one oracle query ranging from one-to-one, length-increasing reductions to truth-table reductions of norm 1 lead to the same class of complete sets for  $\mathbf{E}$ . Namely, Berman [8] has shown that every p-m-complete set for  $\mathbf{E}$  is in fact p-1-li-complete while Homer, Kurtz and Royer [10] have proved that every p-btt(1)-complete set for  $\mathbf{E}$  is in fact p-m-complete for  $\mathbf{E}$ . Corresponding results for weak completeness have been proved by Ambos-Spies, Mayordomo and Zheng [4]. By the two following theorems, the same phenomenon occurs for almost completeness.

**Theorem 21.** *A set is almost p-m-complete for  $\mathbf{E}$  if and only if it is almost p-1-li-complete for  $\mathbf{E}$ .*

*Proof.* For a proof of the nontrivial direction assume that  $A$  is almost p-m-complete for  $\mathbf{E}$  and fix  $k_0 \geq 1$  such that  $A \in \mathbf{DTIME}(2^{k_0 n})$ . By Lemma 11 and Proposition 9 choose  $k > k_0$  such that all  $n^k$ -random sets in  $\mathbf{E}$  are p-m-reducible to  $A$ . Then by Lemma 11 again, it suffices to show that all these sets are even p-1-li-reducible to  $A$ . So let  $R$  be any  $n^k$ -random set in  $\mathbf{E}$  and assume that  $R$  is p-m-reducible to  $A$  via the polynomial-time computable function  $f$ . Then, by Theorem 15 (b) and by Corollary 16,  $f$  is one-to-one and length-increasing almost everywhere, i.e.,

$$B = \{x : |f(x)| \leq |x| \text{ or } \exists y < x (f(x) = f(y))\}$$

is finite. So, in order to convert  $f$  into a p-1-li-reduction  $f'$  from  $R$  to  $A$ , it suffices to correct  $f$  on the finite set  $B$ . We do this by mapping the  $n$ -th element  $x_n$  of  $B \cap R$  (if it exists) to the  $n$ -th element  $y_n$  of  $A \setminus \text{range}(f)$  which is longer than  $x_n$  and, similarly, the  $n$ -th element  $x'_n$  of  $B \cap \overline{R}$  (if it exists) to the  $n$ -th element  $y'_n$  of  $\overline{A} \setminus \text{range}(f)$  which is longer than  $x'_n$  ( $n \geq 1$ ). Since  $B$  is finite, the function  $f'$  defined in this way is polynomial-time computable and it is a p-1-li-reduction from  $R$  to  $A$ .

It remains to show, however, that  $f'$  is well-defined, i.e., that the required strings  $y_n$  and  $y'_n$  actually exist. For this sake it suffices to show that  $A \setminus \text{range}(f)$  and  $\overline{A} \setminus \text{range}(f)$  are infinite. This is done as follows. By Lemma 13, the complement  $\overline{R}$  of  $R$  is  $n^k$ -random too. Hence, by choice of  $k$ ,  $\overline{R}$  is p-m-reducible to  $A$ , say via  $g$ . Moreover, since  $\overline{R} \notin \mathbf{P}$ , the intersections  $\text{range}(g) \cap A$  and  $\text{range}(g) \cap \overline{A}$  are infinite, whence it suffices to show that the ranges of  $f$  and  $g$  have only finitely many elements in common. But this is true by Lemma 17.  $\square$

**Theorem 22.** *A set is almost p-btt(1)-complete for  $\mathbf{E}$  if and only if it is almost p-m-complete for  $\mathbf{E}$ .*

*Proof.* For a proof of the nontrivial direction assume that  $A$  is almost p-btt(1)-complete for  $\mathbf{E}$ . Then, by Lemma 11, firstly, we can assume that for some  $k \geq 2$  the lower p-btt(1)-span of  $A$  contains all  $n^k$ -random sets in  $\mathbf{E}$  and, secondly, it suffices to show that every  $n^k$ -random set in  $\mathbf{E}$  is p-m-reducible to  $A$ . So let  $R$  be an  $n^k$ -random set in  $\mathbf{E}$  and, for a contradiction, assume that  $R$  is not

p-m-reducible to  $A$ . We will obtain the desired contradiction by constructing an  $n^k$ -random set  $R'$  in  $\mathbf{E}$  which is not p-btt(1)-reducible to  $A$ .

Let  $\{(g_e, h_e) : e \geq 0\}$  be an effective enumeration of the p-btt(1)-reductions with nonconstant evaluators, i.e., with  $h_e(x)(0) \neq h_e(x)(1)$  for all strings  $x$ , and such that  $g_e(x)$  and  $h_e(x)$  can be uniformly computed in  $2^{|x|} + e$  steps. Then, for every  $e \geq 1$  we define a variant  $R_e$  of  $R$  by letting

$$R_e(x) = \begin{cases} R(x) & \text{if } h_e(x)(0) < h_e(x)(1) \\ 1 - R(x) & \text{if } h_e(x)(0) > h_e(x)(1) \end{cases}$$

Note that the set  $R_e$  is not p-btt(1)-reducible to  $A$  via  $(g_e, h_e)$  since otherwise  $R$  would be p-m-reducible to  $A$  via  $g_e$  contrary to the assumption. In fact, by closure of  $\leq_m^p$  under finite variants, the reduction  $(g_e, h_e)$  fails to reduce  $R_e$  to  $A$  for infinitely many arguments. So we can construct a partition of  $\{0, 1\}^*$  into easily recognizable intervals  $I_e$ ,  $e \geq 0$ , such that  $(g_e, h_e)$  fails to reduce  $R_e$  to  $A$  for some string in  $I_e$ . Moreover, by a standard delayed diagonalization argument (see e.g. Chapter 7 of [7]), we can choose the partition in such a way that, for any  $x$ , the index  $e$  of the interval  $I_e$  containing  $x$  can be computed in  $|x|^2$  steps and such that  $|x| \geq e$ . Now define  $R'$  by letting  $R'$  agree with  $R_e$  on the interval  $I_e$ . Then  $R'$  will not be p-btt(1)-reducible to  $A$  via any of the reductions  $(g_e, h_e)$ ,  $e \geq 0$ . Since any p-btt(1)-reduction can be easily converted into a reduction with nonconstant evaluators, this shows that  $R'$  is not p-btt(1)-reducible to  $A$ . Moreover, by choice of the intervals  $I_e$ ,  $R'$  is in  $\mathbf{E}$  and the set

$$D = \{x : \exists e \geq 0 (x \in I_e \ \& \ h_e(x)(0) > h_e(x)(1))\},$$

consisting of the strings for which the evaluator  $h_e$  corresponding to the interval  $I_e$  containing  $x$  is negative, can be computed in time  $\mathcal{O}(2^n)$ . Since  $R' = R \triangle D$ , by Lemma 13 this implies that  $R'$  is  $n^k$ -random.  $\square$

Previous results in the literature together with the results of this paper clarify most of the relations among the different completeness notions for  $\mathbf{E}$ . If we let  $\mathcal{C}(\mathbf{E}, r)$  denote the class of p-r-complete sets for  $\mathbf{E}$ , and if  $\mathcal{AC}(\mathbf{E}, r)$  and  $\mathcal{WC}(\mathbf{E}, r)$  denote the corresponding classes of almost and weakly complete sets, respectively, the known relations among the classes are summarized in Figure 1.

Note that in Figure 1 the inclusions from top to bottom and from left to right are immediate by definition. The two equalities in the first column are due to Berman [8] and Homer et al. [10] (see above), while the strictness of the remaining three inclusions in this column has been established by Watanabe [21] who separated the standard completeness notions for reducibilities which allow more than one query. The two equalities in the second column are proved in Theorems 21 and 22 above. It follows with Theorem 19 that the first three inclusions from column 1 to column 2 are proper, while the coincidence of completeness and almost completeness for the other three reducibilities follows from Corollary 6 above due to Regan et al. [19]. This corollary also yields that the last two inclusions in column 2 are proper. That the class  $\mathcal{AC}(\mathbf{E}, \text{btt}(1))$  is a proper subclass

$\mathcal{C}(\mathbf{E}, 1\text{-li})$	$\subset$	$\mathcal{AC}(\mathbf{E}, 1\text{-li})$	$\subset$	$\mathcal{WC}(\mathbf{E}, 1\text{-li})$
$\parallel$		$\parallel$		$\parallel$
$\mathcal{C}(\mathbf{E}, \text{m})$	$\subset$	$\mathcal{AC}(\mathbf{E}, \text{m})$	$\subset$	$\mathcal{WC}(\mathbf{E}, \text{m})$
$\parallel$		$\parallel$		$\parallel$
$\mathcal{C}(\mathbf{E}, \text{btt}(1))$	$\subset$	$\mathcal{AC}(\mathbf{E}, \text{btt}(1))$	$\subset$	$\mathcal{WC}(\mathbf{E}, \text{btt}(1))$
$\cap$		$\cap$		$\cap$
$\mathcal{C}(\mathbf{E}, \text{btt})$	$=$	$\mathcal{AC}(\mathbf{E}, \text{btt})$	$\subset$	$\mathcal{WC}(\mathbf{E}, \text{btt})$
$\cap$		$\cap$		$\cap$
$\mathcal{C}(\mathbf{E}, \text{tt})$	$=$	$\mathcal{AC}(\mathbf{E}, \text{tt})$	$\subseteq$	$\mathcal{WC}(\mathbf{E}, \text{tt})$
$\cap$		$\cap$		$\cap$
$\mathcal{C}(\mathbf{E}, \text{T})$	$=$	$\mathcal{AC}(\mathbf{E}, \text{T})$	$\subseteq$	$\mathcal{WC}(\mathbf{E}, \text{T})$

**Fig. 1.** The figure shows the known relations among the completeness notions discussed in this paper. Here ‘ $\subset$ ’ means that a class is a proper subclass, while ‘ $\subseteq$ ’ indicates that it is not known if the inclusion is strict. All classes contained in  $\mathcal{AC}(\mathbf{E}, \text{btt})$  have measure 0 in  $\mathbf{E}$ , whereas all the weakly complete classes (i.e. the third column) are known to have measure one in  $\mathbf{E}$ . The measure in  $\mathbf{E}$  of the remaining four classes (the complete and almost complete sets for p-tt- and p-T-reducibility) is hitherto unknown.

of the class  $\mathcal{AC}(\mathbf{E}, \text{btt})$  follows from Corollary 12, since Watanabe [21] has shown that there is a p-btt-complete set for  $\mathbf{E}$ , which is not p-btt(2)-complete.

The relations stated in the third column have been established by Ambos-Spies et al. in [4] where weak completeness notions are compared. The strictness of the first four inclusions between the second and the third column follows from the observation that  $\mathcal{AC}(\mathbf{E}, \text{btt})$  has measure 0 in  $\mathbf{E}$  (Corollary 7) whereas  $\mathcal{WC}(\mathbf{E}, \text{m})$  has nonzero measure in  $\mathbf{E}$  ([11]), in fact measure 1 in  $\mathbf{E}$  ([6]).

Finally, the question whether the last two inclusions between the second and the third column are proper is still open. It has been shown, however, that these questions cannot be resolved by relativizable techniques: namely, Allender and Strauss [1] have shown that, relative to some oracle, all  $n^2$ -random sets are p-tt-complete whereas Ambos-Spies, Lempp, and Mainhardt [2] and, independently, Buhrman et al. [9] have given oracles relative to which no  $n^2$ -random set is p-T-complete for  $\mathbf{E}$ . This also shows that the measure in  $\mathbf{E}$  of the classes of complete and almost complete sets for p-tt- and p-T-reducibility is oracle dependent.

## 5 Further results

In this paper we looked at the concept of almost completeness only for the class  $\mathbf{E}$  of sets computable in linear exponential time. Similar results, however, can be obtained for other complexity classes. In particular all of our results can be also shown for Lutz’s measure on the class  $\mathbf{EXP}$  of sets computable in time  $2^{\text{poly}}$ . The analog of our main theorem (Theorem 19) in this setting follows directly from Lemma 20 by the characterization of the measure in  $\mathbf{EXP}$  in

terms of  $2^{(\log n)^k}$ -random sets corresponding to Lemma 10, while analogs of the other results require only minor changes in the proofs. The relations among the different completeness notions in Figure 1 will remain the same if we replace **E** by **EXP**.

While it is well-known that p-m-hardness for **E** and **EXP** coincide, Juedes and Lutz [13] have shown that every weakly p-m-hard set for **E** is also weakly p-m-hard for **EXP** but that there are weakly p-m-complete sets for **EXP** in **E** which are not weakly p-m-complete for **E**. By refining the technique used in the proof of our main theorem, Ambos-Spies has shown that the concepts of almost p-m-hardness for **E** and **EXP** are independent and that witnesses for the independence can be found in **E**. Moreover, there is an almost p-m-complete set for **EXP** which is not even weakly p-m-hard for **E**.

Ambos-Spies has also investigated almost hardness for **E** and **EXP** under the bounded query reducibilities of constant norm, namely under the adaptive p-Turing reducibility p-bT( $c$ ) of constant norm  $c$  and the nonadaptive p-truth-table reducibility p-btt( $c$ ) of norm  $c$ . He has shown that the corresponding notions of almost hardness are nontrivial and he proved hierarchy theorems clarifying the relations among these new concepts. These results will appear elsewhere.

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